



DOCKET NO: L0461.70102US00

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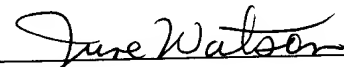
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Probst-Kepper et al.
Serial No.: 09/786,214
Confirmation No.: 6577
Int'l Filing Date: September 3, 1999
For: AN ANTIGENIC PEPTIDE ENCODED BY AN ALTERNATIVE OPEN
READING FRAME OF HUMAN MACROPHAGE COLONY-
STIMULATING FACTOR

Examiner: DiBrino, Marianne
Art Unit: 1644

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to **Mail Stop Sequence**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 2nd day of October 2003.


June Watson

MAIL STOP SEQUENCE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:


Transmitted herewith are the following documents:

- ☒ **COPY of Notice to Comply with Sequence Requirements**
- ☒ **Preliminary Amendment**
- ☒ **Sequence Listing – paper copy w/identical computer readable diskette**
- ☒ **Statement Under 37 CFR 1.821(f) and 1.825(a) and (b)**
- ☒ **Return Receipt Postcard**

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is determined to be required, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: 
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Wolf, Greenfield & Sacks, P.C.
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Telephone: (617) 720-3500

Docket No. L0461.70102US00
Dated: October 2, 2003
x10/26/03
740948.1



Application No.: 09/786,214

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: Applicant must include mandatory field 150 in the sequence listing to provide reference to prior applications under USC 120 and/or 119.

Applicant Must Provide:

- ☒ ~~An initial~~ or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ ~~An initial~~ or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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